



Pension Benefit Guaranty Corporation
Office of Inspector General
Evaluation Report

**Fiscal Year 2012 Vulnerability Assessment
and Penetration Testing Report**

RESTRICTED DISCLOSURE

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May 16, 2013

EVAL-2013-7/FA-12-88-6



Pension Benefit Guaranty Corporation

Office of Inspector General

1200 K Street, N.W., Washington, D.C. 20005-4026

May 16, 2013

To: Joshua Gotbaum
Director

From: Candace N. Milbry *Candace N. Milbry*
Acting Assistant Inspector General for Audit

Subject: Fiscal Year (FY) 2012 Vulnerability Assessment and Penetration Testing
(EVAL-2013-7/FA-12-88-6)

I am pleased to transmit the attached **Restricted Disclosure** report detailing results of the vulnerability assessment and penetration testing evaluation performed in conjunction with the audit of the Pension Benefit Guaranty Corporation (PBGC) FY 2012 financial statements (AUD-2013-1/FA-12-88-1).

During the financial statement audit, our independent public accountant, CliftonLarsonAllen LLP, assessed the PBGC information security infrastructure to find technical weaknesses in PBGC's computer systems that may allow employees or outsiders to cause harm to, and/or impact, PBGC's business processes and information. In its assessment, CliftonLarsonAllen found the PBGC's information security has improved; although several critical and high risk weaknesses have repeated from prior years. Additionally, PBGC needs to increase controls and processes surrounding patch and password management. PBGC was responsive and agreed with all five recommendations contained in this report. OIG concurred with management decision and the associated completion dates.

Due to the sensitive nature of this report, its disclosure has been restricted. This transmittal memorandum will be posted to the OIG external website, but the attachment summarizing our evaluation will be redacted in its entirety because it contains privileged and confidential information that, if disclosed, would cause further vulnerability.

We appreciate the cooperation that CliftonLarsonAllen and the OIG received while performing the testing.

Attachment

cc: Judith R. Starr
Patricia Kelly
Alice Maroni
Ann Orr

Barry West
Martin O. Boehm
Sandford Rich

The presentation summarizing PBGC's vulnerability assessment contains confidential and proprietary information and has been redacted.

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Pension Benefit Guaranty Corporation
Office of Inspector General
PO Box 34177
Washington, DC 20043-4177