



Pension Benefit Guaranty Corporation

Office of Inspector General

Evaluation Report

**Fiscal Year 2013 Vulnerability Assessment and
Penetration Testing Report**

RESTRICTED DISCLOSURE

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January 9, 2014

EVAL-2014-6/FA-13-93-5



Pension Benefit Guaranty Corporation

Office of Inspector General

1200 K Street, N.W., Washington, D.C. 20005-4026

January 9, 2014

To: Joshua Gotbaum
Director

From: Rashmi Bartlett *Rashmi Bartlett*
Assistant Inspector General for Audit

Subject: Fiscal Year (FY) 2013 Vulnerability Assessment and Penetration Testing
(EVAL-2014-6/FA-13-93-5)

I am pleased to transmit the attached **Restricted Disclosure** report detailing results of the vulnerability assessment and penetration testing evaluation performed in conjunction with the audit of the Pension Benefit Guaranty Corporation (PBGC) FY 2013 financial statements.

During the financial statement audit, our independent public accountant, CliftonLarsonAllen LLP, assessed the PBGC information security infrastructure for technical weaknesses in PBGC's computer systems that may allow employees or outsiders to cause harm to, and/or impact, PBGC's business processes and information. In its assessment, CliftonLarsonAllen found the PBGC's information security vulnerabilities have increased. OIG was optimistic after seeing a significant decline in the number of vulnerabilities from FY 2011 to FY 2012. Our FY 2013 findings raise concerns about the effectiveness of PBGC's scanning efforts and timeliness in mitigating significant security weaknesses.

Due to the sensitive nature of this report, its disclosure has been restricted. The final transmittal memorandum will be posted to the OIG external website, but the attachment summarizing our evaluation will be redacted in its entirety because it contains privileged and confidential information that, if disclosed, would cause further vulnerability.

We appreciate the cooperation that CliftonLarsonAllen and the OIG received while performing the testing.

Attachment

cc: Judith Starr
Patricia Kelly
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