



Pension Benefit Guaranty Corporation

***Office of Inspector General***

EVALUATION REPORT

**Fiscal Year 2014 Vulnerability Assessment and Penetration  
Testing Report**

**RESTRICTED DISCLOSURE**

**This document contains privileged and confidential information, and was produced at the direction of the Pension Benefit Guaranty Corporation, Office of Inspector General. It may not be disclosed, reproduced, or disseminated without the express permission of the Inspector General.**

***March 30, 2015***

2015-7/FA-14-101-6



# Pension Benefit Guaranty Corporation

Office of Inspector General

1200 K Street, N.W., Washington, D.C. 20005-4026

March 30, 2015

To: Alice Maroni  
Acting Director

From: Rashmi Bartlett *Rashmi Bartlett*  
Assistant Inspector General for Audit

Subject: Fiscal Year (FY) 2014 Vulnerability Assessment and Penetration Testing  
(EVAL-2015-7/FA-14-101-6)

I am pleased to transmit the attached **Restricted Disclosure** report detailing results of the vulnerability assessment and penetration testing evaluation performed in conjunction with the audit of the Pension Benefit Guaranty Corporation (PBGC) FY 2014 financial statements.

During the financial statement audit, we assessed the PBGC information security infrastructure for technical weaknesses in PBGC's computer systems that may allow employees or outsiders to cause harm to, and/or impact, PBGC's business processes and information. We found the culture surrounding vulnerability management has improved. PBGC's information security critical and high vulnerabilities have decreased and patch management has achieved the highest level of maturity in the agency's history. At the same time, PBGC continues to have challenges in mitigating longstanding weaknesses, as a number of issues have continued for multiple years.

Due to the sensitive nature of this report, its disclosure has been restricted. The final transmittal memorandum will be posted to the OIG external website, but the attachment summarizing our evaluation will be redacted in its entirety because it contains privileged and confidential information that, if disclosed, would cause further vulnerability.

This work was conducted by independent public accountant, CliftonLarsonAllen LLP. We appreciate the cooperation that CliftonLarsonAllen and the OIG received while performing the testing.

## Attachment

cc: Patricia Kelly  
Cathy Kronopolous  
Ann Orr  
Michael Rae  
Sandford Rich  
Judith Starr  
Tim Hurr  
Marty Boehm

If you want to report or discuss confidentially any instance of misconduct, fraud, waste, abuse, or mismanagement, please contact the Office of Inspector General.

Telephone:  
The Inspector General's HOTLINE  
1-800-303-9737

The deaf or hard of hearing, dial FRS (800) 877-8339  
and give the Hotline number to the relay operator.

Web:  
<http://oig.pbgc.gov/investigation/details.html>

Or Write:  
Pension Benefit Guaranty Corporation  
Office of Inspector General  
PO Box 34177  
Washington, DC 20043-4177