




Office of Inspector General
Pension Benefit Guaranty Corporation

May 30, 2019

TO: Alice Maroni
Chief Management Officer

FROM: Brooke Holmes 
Assistant Inspector General for Audits, Evaluations, and Reviews

SUBJECT: PBGC's FY 2018 Annual Performance Report (Project IN-19-139)

The Office of the Inspector General is initiating an engagement on PBGC's Annual Performance Report. The enclosure provides additional information on the engagement and our information requests.

We would appreciate your notifying the appropriate staff of this work. The next step will be to set up an entrance conference which we will coordinate with the Corporate Controls and Reviews Department. Please see the enclosure for more specific details on the engagement and for topics for discussion at the entrance conference.

Thank you in advance for your time and consideration.

cc: Judith Starr, General Counsel
Frank Pace, Director CCRD
Diane Braunstein, Director QMD
Latreece Wade, Acting Risk Management Officer

Overview of Engagement

Subject: FY 2018 Annual Performance Report

Source for the Work: Self-Initiated

Issue(s) under Review/Objective(s)/Key question(s):

1. Are the facts and figures reported in the Annual Performance Report accurate?
2. Are controls in place for ensuring the completeness, reliability, and quality of performance data reported in the Annual Performance Report?

Entrance Conference Discussion:

1. What is the process for compiling data and drafting the Annual Performance Report?
2. Is the information reported in the Annual Performance Report validated?
3. What criteria does the agency follow for the development of the Annual Performance Report?

Documentation requested to be provided at the entrance conference

1. Corporate-wide plans and policies pertaining to the information reported in the Annual Performance Report.
2. The sources of the facts and figures reported in the Annual Performance Report.
3. The criteria used by the Corporation for the preparation and submission of the Annual Performance Report.

Points of Contact Requested:

1. For each office that collects, maintains, or submits data that is reported in the Annual Performance Report.

Time frame for holding the entrance conference: June 2019

OIG Contacts:

Brooke Holmes, AIGA, ext. 3790 or holmes.brooke@pbgc.gov
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