



Office of Inspector General

December 10, 2020

TO: Patricia Kelly
Chief Financial Officer

FROM: John Seger *John Seger*
Assistant Inspector General for Audits, Evaluations, and Inspections

SUBJECT: PBGC's Fiscal Year (FY) 2020 Compliance with the Payment Integrity Information Act of 2019 (PIIA) (Project No. EV-21-153)

The Office of the Inspector General is initiating an engagement on PBGC's FY 2020 Compliance with the Payment Integrity Information Act of 2019 (PIIA). On March 2, 2020, the PIIA repealed the Improper Payment Information Act of 2002, Improper Payments Elimination and Recovery Act of 2010, and the Improper Payments Elimination and Recovery Improvement Act of 2012 but set forth similar improper payment reporting requirements, including an annual compliance report by Inspectors General. Please see the enclosure for more specific details on the engagement and for topics we plan to discuss at the entrance conference. We will begin work on this engagement immediately, so we would appreciate your notifying the appropriate staff.

The next step will be to conduct an entrance conference which we will coordinate with the Corporate Controls and Reviews Department. At the entrance conference, we request PBGC provide any feedback on the planned engagement.

Thank you in advance for your time and consideration.

cc: Paul Chalmers, Acting General Counsel
Frank Pace, Director, CCRD
Latrece Wade, Risk Management Officer

Overview of PBGC's FY 2020 Compliance with PIIA (Project No. EV-21-153)

Subject: PBGC's FY 2020 Compliance with PIIA

Source for the Work: Statutory

Issues Under Review/Objective:

1. Our objective is to determine whether PBGC has met all requirements of the Payment Integrity Information Act of 2019 (PIIA) in the Payment Integrity section of the FY 2020 Agency Financial Report /Performance and Accountability Report and accompanying materials.
2. In addition, we will evaluate the agency's efforts to prevent and reduce improper payments.

Entrance Conference Discussion Topics:

1. Overview of the PIIA of 2019, evaluation objective and issues under review
2. Confirmation of payment streams and points of contact (POC)

Documentation Requested for the Entrance Conference:

1. Payment stream rotation schedule.
2. Risk assessments, cycle memos, and procedures for payment streams relating to Payment to Federal Employees.

Points of Contact Requested:

1. CCRD or any other responsible department

Estimated Report Issuance: by May 14, 2021 (or earlier). If no findings, we will issue without management comments, as done in prior years.

OIG Contacts:

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