



Office of Inspector General

February 11, 2021

TO: David Foley  
Chief of Benefits Administration

FROM: John Seger JOHN SEGER Digitally signed by JOHN SEGER  
Date: 2021.02.11 06:58:28  
-05'00'  
Assistant Inspector General for Audits, Evaluations, and Reviews

SUBJECT: Evaluation of PBGC's Missing Participant Program (Project EV-21-154)

The Office of the Inspector General is initiating an engagement on PBGC's Missing Participant Program. Please see the enclosure for more specific details on the engagement and for topics we plan to discuss at the entrance conference. We will begin work on this engagement immediately, so we would appreciate your notifying the appropriate staff.

The next step will be to conduct an entrance conference which we will coordinate with the Corporate Controls and Reviews Department. At the entrance conference, we request PBGC provide any feedback on the planned engagement.

Thank you in advance for your time and consideration.

cc: Frank Pace, Director, CCRD  
Kristin Chapman, Chief of Staff  
Russell Dempsey, General Counsel

Evaluation of PBGC's Missing Participant Program

Subject: PBGC's Missing Participant Program

Source for the Work: Self-initiated

Objective: To determine the effectiveness of PBGC's Missing Participants Program in locating missing participants.

Entrance Conference Discussion Topics:

1. Overview of PBGC's Missing Participant Program (the plans covered by the program and governing laws and regulations).
2. Program-related roles and responsibilities (the core team and business units).  
Schedule a detailed walkthrough of the agency's Missing Participant program and interviews with the applicable business units.

Documentation Requested for the Entrance Conference:

1. Any prior internal or external audits, evaluations, or other reviews related to the agency's Missing Participant Program.
2. Relevant policies, processes, and procedures.

Points of Contact Requested:

1. Office of Benefits and Administration
2. Office of General Counsel

Estimated Report Issuance: September 30, 2021

OIG Contacts:

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