



Office of Inspector General

December 8, 2021

TO: Patricia Kelly
Chief Financial Officer

FROM: John Seger
Assistant Inspector General for Audits, Evaluations, and Inspections

SUBJECT: PBGC's Fiscal Year 2021 Compliance with the Payment Integrity Information Act of 2019 (Project No. EV-22-163)

The Office of the Inspector General is initiating an engagement on PBGC's compliance with the Payment Integrity Information Act of 2019 (PIIA) for Fiscal Year (FY) 2021. We will begin work on this engagement immediately, so we would appreciate you notifying the appropriate staff.

The next step will be to conduct an entrance conference which we will coordinate with the Corporate Controls and Reviews Department. At the entrance conference, we request PBGC provide any feedback on the planned engagement. Please see the enclosure for more specific details on the engagement and for topics we plan to discuss at the entrance conference.

Thank you in advance for your time and consideration.

cc: Russ Dempsey, General Counsel
Frank Pace, Director, CCRD
Latrece Wade, Risk Management Officer
Kristin Chapman, Chief of Staff

Overview of PBGC's Compliance with PIIA for FY 2021 (Project No. EV-22-163)

Subject: PBGC's Compliance with PIIA for FY 2021

Source for the Work: Statutory

Objective: To determine whether PBGC complied with the Payment Integrity Information Act of 2019 (PIIA) for FY 2021

Issue Under Review/Key Question:

1. Review of the payment integrity section the agency's annual financial statement and accompanying materials to the financial statement for FY 2021 I to assess the agency's compliance with PIIA and related OMB guidance.

Entrance Conference Discussion Topics

1. Overview of the PIIA of 2019, evaluation objective and issues under review
2. Confirmation of payment streams and points of contact (POC)

Documentation Requested for the Entrance Conference:

1. Payment stream rotation schedule
2. Risk assessments, cycle memos, and procedures for FY 2021 payment streams

Points of Contact Requested:

1. CCRD or any other responsible department

Estimated Report Issuance: May 15, 2022 (or earlier). If there are no findings, we will issue the report without management comments, as done in prior years.

OIG Contacts:

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