




Office of Inspector General

January 29, 2020

TO: Robert Scherer
Chief Information Officer

FROM: Brooke Holmes 
Assistant Inspector General for Audits, Evaluations, and Inspections

SUBJECT: PBGC's IT Modernization Projects (Project SR-20-146)

The Office of the Inspector General is initiating an engagement on PBGC's IT Modernization projects. Please see the enclosure for more specific details on the engagement and for topics we plan to discuss at the entrance conference. We will begin work on this engagement immediately, so we would appreciate your notifying the appropriate staff.

The next step will be to conduct an entrance conference which we will coordinate with the Corporate Controls and Reviews Department. At the entrance conference, we request PBGC provide any feedback on the planned engagement.

Thank you in advance for your time and consideration.

cc: Paul Chalmers, Acting General Counsel
Frank Pace, Director, CCRD
Vidhya Shyamsunder, Director, BISD
Melanie Carter, Director, EGD
Latrece Wade, Risk Management Officer

Overview of Engagement

Subject: IT Modernization Projects

Source for the Work: Self-initiated

Issue(s) Under Review/Objective(s)/Key Question(s):

1. Describe ongoing PBGC IT modernization projects, significant within PBGC's mission.
2. Current status of the efforts, particularly related to cost and schedule.
3. Challenges and risks of these projects.
4. How do these projects address PBGC's strategic goals?

Entrance Conference Discussion Topics:

1. Please provide a brief overview of the IT modernization process.
2. Explain difference between IT modernization efforts detailed on pbgc.gov and itdashboard.gov.
3. Describe ongoing IT modernization projects.
4. Explain the five tier model for managing scope, cost, schedule, risks and issues as mentioned in 7e of IM-05-07.

Documentation Request to Discuss at the Entrance Conference:

1. For selected IT modernization projects (TBD)-Documentation detailing initial baseline, schedule, and total ownership cost.
2. Most recent updates to Budget and Planning Integration Team or/and IT Portfolio Review Board (ITPRB) on the selected IT modernization projects.

Points of Contact Requested:

1. For BISD, EGD, and ITPRB main and back-up contacts.

Estimated Report Issuance: June 2020

OIG Contacts:

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