



Pension Benefit Guaranty Corporation

Office of Inspector General

EVALUATION REPORT

**Fiscal Year 2015 Vulnerability Assessment and Penetration
Testing Report**

RESTRICTED DISCLOSURE

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December 11, 2015

EVAL-2016-1/FA-15-108-1



Office of Inspector General
Pension Benefit Guaranty Corporation

December 11, 2015

To: Bob Scherer
Chief Information Officer

From: Rashmi Bartlett *Rashmi Bartlett*
Assistant Inspector General for Audit

Subject: Fiscal Year (FY) 2015 Vulnerability Assessment and Penetration Testing (EVAL-2016-1/FA-15-108-1)

I am pleased to transmit the attached **Restricted Disclosure** report detailing results of the vulnerability assessment and penetration testing evaluation performed in conjunction with the audit of the Pension Benefit Guaranty Corporation (PBGC) FY 2015 financial statements.

During the financial statement audit, we assessed the PBGC information security infrastructure for technical weaknesses in PBGC's computer systems that may allow employees or outsiders to cause harm to, and/or impact, PBGC's business processes and information. Critical and High severity vulnerabilities increased from prior years. Moreover, the agency must better prepare for end-of-service-life transition. This report includes six recommendations.

Due to the sensitive nature of this report, its disclosure has been restricted. The final transmittal memorandum will be posted to the OIG external website, but the attachment summarizing our evaluation will be redacted in its entirety because it contains privileged and confidential information that, if disclosed, would cause further vulnerability.

This work was conducted by independent public accountant, CliftonLarsonAllen LLP. We appreciate the cooperation that CliftonLarsonAllen and the OIG received while performing the testing.

Attachment

cc: Tim Hurr

Marty Boehm

Joshua Kossoy

Addressee
December 15, 2015
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